

4370 *Decker*

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
Q01-1019-US1

In Re Application Of: JOHN S. JUDGE ET AL.

NOV 19 2001  
PATENT & TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
09/888093

Filing Date  
6/21/01

Examiner  
NOT ASSIGNED

Group Art Unit  
2652

Invention: OPTICAL RECORDING ARTICLE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
8/17/01  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

RETURN POSTCARD

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☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$740.00
<input type="checkbox"/> Design application filing fee	
<input checked="" type="checkbox"/> Total number of independent claims = 13	\$840.00
<input checked="" type="checkbox"/> Total number of claims = 100	\$1,440.00
<input type="checkbox"/> Multiple dependent claims	
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$130.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	
<input type="checkbox"/> Fee for processing and retention of application	
Total completion of application fees	\$3,150.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$3,150.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**Q01-1019-US1**

In Re Application Of: **JOHN S. JUDGE ET AL.**

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
**09/888093**

Filing Date  
**6/21/01**

Examiner  
**NOT ASSIGNED**

Group Art Unit  
**2652**

Invention: **OPTICAL RECORDING ARTICLE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

The fee of **\$3,150.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0283**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0283**  
A duplicate copy of this sheet is enclosed.

  
*Signature*

**DAVID B. HARRISON, REG. NO. 27,445  
QUANTUM CORPORATION  
501 SYCAMORE DRIVE  
MILPITAS, CA 95035**

**408-944-4466 PHONE  
408-944-6581 FAX**

Dated: **OCTOBER 16, 2001**

I certify that this document and fee is being deposited on **OCTOBER 16, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

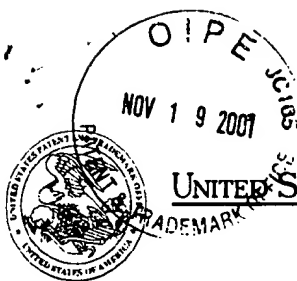


*Signature of Person Mailing Correspondence*

**CHARLOTTE BOWERS**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



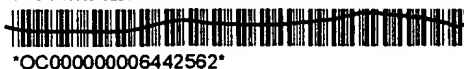
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,093	06/21/2001	John S. Judge	06837-112001

CONFIRMATION NO. 6483

## FORMALITIES LETTER



\*OC000000006442562\*

DAVID B. HARRISON  
Quantum Corporation  
501 Sycamore Drive  
Milpitas, CA 95035

Date Mailed: 08/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2240.
  - \$1440 for 80 total claims over 20.
  - \$800 for 10 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3080.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/20/2001 RWD/DAF1 00000019 500283 0988809

01 FL:101 740.00 CH  
02 FC:105 130.00 CH  
03 FL:103 1440.00 CH  
04 FC:102 840.00 CH